

U.S. Census Bureau Reporting

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US Census Bureau Update – AES Reporting

Summary of Filing Requirements

- > 15 CFR part 30 – Enables the Census Bureau to require information on all exports, either through the filing of EEI (Electronic Export Information) via AES (Automated Export System) or advising that the filing in AES is exempt or excluded
- > USPPI's (United States Principal Party in Interest, or shippers on NWP) must provide the carrier (NWP) either the proof of filing citation or the exemption or exclusion legend
- > Northwest must annotate CBP (U.S. Customs of Border Protection) documentation and provide it to them on a monthly basis

General Requirements 15 CFR part 30

- > 30.2(a)(1) – EEI must be filed by USPPI through AES for all exports of physical goods
- > Subpart D Exemptions from EEI filing in AES
 - 30.36 – Shipments to Canada
 - 30.37 – In-transit movements
 - (c) US-Canada-US
 - (d) Canada-US-Canada
 - (e) in-bond through US and exported
- > 30.2(a)(1)(iv)
 - Supersedes Subpart D exemptions where goods under “export license” = AES reporting

US Pipeline Exports under Export License/DOE Authorization

> USPPI must:

- File EEI in AES and obtain ITN (Internal Transaction Number) or provide NWP with exemption legend by end of 4th *calendar* day following month of export
- Provide NWP with ITN by end of 4th calendar day

> NWP to provide applicable CBP port with proof of filing (exempt & non-exempt) citation within same 4 calendar day period

AES Filing for Natural Gas

Filing Conventions for Natural Gas

United States Principal Party in Interest (USPPI)

As defined in 15 CFR, Part 30

“The person or legal entity in the United States that receives the primary benefit, monetary or otherwise, from the export transaction. Generally, that person or entity is the U.S. seller, manufacturer, or order party, or the foreign entity while in the United States when purchasing or obtaining the goods for export.”

Filing Conventions for Natural Gas (cont)

> USPPI data given:

- Address Line 1 is the name of the US pipeline that carries the natural gas into Canada (Northwest Pipeline LLC)
- City, State and Postal Code are the city, state and zip code of the US city nearest the border crossing of the pipeline (Sumas, Washington 98295)
- State of Origin is where the natural gas leaves the US (Sumas & SIPI delivery points are in Washington)
- Port of Export is the nearest CBP port to the actual border crossing location that is valid for a “fixed” method of transportation (3009 is Sumas, 3401 is Pembina, ND)
 - List of fixed ports are in Appendix D of the AES Trade Interface Requirements (AESTIR)

Filing Conventions for Natural Gas (cont)

> Two options for Date of Export

- File shipments during the month and report the date of export on daily basis
- Shipments made throughout the month can be reported as one shipment on the last day of the month if they have the same:
 - USPPI
 - Ultimate Consignee
 - Departure Month
 - Pipeline
 - Foreign Trade Zone (not applicable for natural gas)

> Ultimate Consignee

- If the ultimate consignee is known, report the foreign party that receives the gas, OR if not known, the name and address of the hub closest to the border crossing may be reported

Filing Conventions for Natural Gas (cont)

> Unit of Quantity

- Unit of Quantity for natural gas is cubic meters
- The formula for cubic meters is
 - 1 dekatherm = 1,000 cubic feet
 - (0.02832784 factor to convert cubic feet to cubic meters) 1,000 cubic feet x .02832784 = 28.32784 cubic meters
 - Therefore, 1 dekatherm = 28.32784 cubic meters

> Valuation of gas

- Shipper to use their own valuation dollar amount. Ensure the same dollar amount is used on the AES and reported to NWP

Sample AES Filing for Natural Gas

EEI: Shipment Information	
E-Mail Response Address	Your email address
Shipment Reference Number	Answer as appropriate
Transportation Reference No.	May be left blank
Origin State	State which pipeline leaves the U.S.
Foreign Trade Zone	Answer as appropriate
Port of Export	Nearest U.S. Customs and Border Protection port to the actual border crossing location that is valid for a fixed mode of transportation
Country of Destination	Canada or Mexico
Port of Unlading	May be left blank
Departure Date	Final day of the month or date of individual shipment
Mode of Transport	Fixed Transport
Inbond Type	Answer as appropriate
Import Entry Number	May be left blank
Carrier SCAC/IATA	May be left blank
Conveyance Name	May be left blank
Is this shipment a Routed Transaction?	Answer as appropriate
Are USPPPI and Ultimate Consignee related	Answer as appropriate
Is any commodity on the shipment hazardous?	Answer as appropriate per DOT definition e.g. Nat Gas is NO

Fields in Red are the agreed upon conventions to be used for an AES filing for natural gas exports

Sample AES Filing for Natural Gas

EI: USPPI	
Company Information	
Company Name	USPPI's (as defined in 15 CFR Part 30) company name
ID Number	USPPI's (as defined in 15 CFR Part 30) company EIN
Contact Information	
First Name	First name of contact
Last Name	Last name of contact
Phone Number	Phone number of contact
Cargo Origin	
Address Line 1	Name of the US pipeline that carries the natural gas into Canada
City	City nearest the border crossing of the pipeline
State	State of the city nearest the border crossing of the pipeline
Postal Code	Zip code of the city nearest the border crossing of the pipeline

Fields in **Red** are the agreed upon conventions to be used for an AES filing for natural gas exports

Sample AES Filing for Natural Gas

EEl: Ultimate Consignee	
Ultimate Consignee Contact	May be left blank
UC Contact Phone number	May be left blank
Company Name	Person or party receiving the Shipment or the name of the hub in Canada closest to the border crossing
Address Line 1	Address of the party receiving the Shipment or the hub in Canada closest to the border
City	City of the party receiving the Shipment or the hub in Canada closest to the border
Country	Canada or Mexico

Fields in Red are the agreed upon conventions to be used for an AES filing for natural gas exports

Sample AES Filing for Natural Gas

EEL: Commodity Line 1	
Schedule B or HTS Number	HTS Number eg. Nat Gas is 2711.21.0000
Commodity Description	Per HTSUS description e.g. "Natural Gas in Gaseous State"
1st Quantity	Quantity dekatherm x 28.32784= cubic meters
Units for 1st Quantity	Cubic Meters
Value in Whole Dollars	Average index value at GDA point for month x quantity OR, if single shipment, value of shipment
Gross Weight in Whole Kilograms	May be left blank
Export Code	OS:General exports (all others)
Origin of Goods	Domestic
License Type	Answer as appropriate e.g. Nat Gas likely is OPA
Export License Number	DOE authorization number
ECCN	May leave blank or use EAR99
Is commodity USED Vehicle?	No

Fields in **Red** are the agreed upon conventions to be used for an AES filing for natural gas exports

NWP Carrier Reporting

- > After monthly pipeline reconciliation finalized (by end of 4th calendar day), NWP provides to US Customs of Border Protection Outbound Programs Team
 - Monthly report of deliveries of natural gas in Canada summarized by shipper/consignee/batch
 - ITN's / exemptions received by NWP
- > No requirements for NWP to enforce shipper compliance
 - NWP will be compliant in reporting data

Helpful links

- > Main webpage how to get registered for AES filings:
 - <https://www.census.gov/foreign-trade/aes/gettingstarted/overview.html#HowDoParticipants>
- > Webpage to register for AESDirect after registering on main page above:
 - https://aesdirect.census.gov/registration/register_form_user.cgi
- > Link to Census Bureau's official copy of 15 CFR 30:
 - <http://www.census.gov/foreign-trade/regulations/index.html>
- > Census Bureau's export training videos
 - <http://www.census.gov/foreign-trade/aes/exporttraining/videos/>
- > Foreign Trade Division blog (click on “webinars” for additional video training):
 - <http://globalreach.blogs.census.gov/>
- > US Customs and Border Protection Export Port codes
 - <http://www.cbp.gov/document/guidance/appendix-d-export-port-codes>

Contacts

- > Census Bureau Call Center
 - 1-800-549-0595, option 3
- > US Customs and Border Protection Export Port codes
 - <http://www.cbp.gov/document/guidance/appendix-d-export-port-codes>
- > Kiesha Pickeral Downs, Chief – Regulations, Outreach and Education Branch, Foreign Trade Division
 - U.S. Census Bureau Office: 301-763-7079
 - E-mail: kiesha.downs@census.gov
- > List of upcoming seminars and webinars:
 - <http://www.census.gov/foreign-trade/aes/meetingsandpresentations/index.html>